



Brent O'Neill  
2300 Richmond Road  
Lexington, KY 40502

P 859.268.6316  
F 859.335.3393

February 9, 2016

Linda Faulkner  
Filings Director  
Kentucky Public Service Commission  
P.O. Box 615  
211 Sower Boulevard  
Frankfort, Kentucky 40602-0615

RECEIVED  
FEB 09 2016  
PUBLIC SERVICE  
COMMISSION

Re: *Permits for Kentucky American Water's Richmond Road  
Filter Building Project*

Dear Linda:

This letter is to transmit permits and documents for the Kentucky American Water's Richmond Road Filter Building Project identified in Ordering Paragraph 2 of the Commission's August 25, 2014 Order in Case No. 2014-00258. This letter is in follow-up to the permits we already submitted to you on May 13, 2015.

Enclosed please find a letter dated February 1, 2016 from Hazen and Sawyer (the outside engineer for the project) attesting that all the required construction-related permits on the Richmond Road Station Water Treatment Plant Improvements have been received by the General Contractor, W. Rogers. As indicated in the letter, W. Rogers has acknowledged that they are in receipt of the following permits that we have not previously submitted to the Commission:

- 1) Lexington-Fayette Urban County Government Commercial Permit that was issued to the contractor on May 20, 2015.
- 2) Lexington-Fayette Urban County Government Electrical Permit that was issued to the contractor on March 9, 2015.
- 3) Lexington-Fayette Urban County Government HVAC Permit that was issued to the contractor on September 24, 2015.

KAW had been of the understanding that the three permits referenced above, when combined with an expected plumbing permit, would constitute a complete "building permit" as contemplated in the Commission's August 25, 2014 Order. Thus, KAW was waiting on receipt of that plumbing permit (or confirmation that no such permit would be required) so that it would have a complete "building permit" that it would then file in accordance with the Commission's Order. KAW has now received confirmation that no plumbing permit will be required. Therefore, KAW now believes that the three permits described above constitute the entire "building permit" and hereby submits the enclosed as such.

As for the demolition/wrecking permit identified in Ordering Paragraph 2 of the Commission's August 24, 2015 Order, KAW does not expect to receive it from the Lexington-Fayette Urban County Government until just prior to the demolition of the existing filter building during May 2016. Upon receipt of that permit, KAW will provide you with a copy.

If you should have any questions, please contact us at any time.

Sincerely

Kentucky-American Water Company

A handwritten signature in blue ink, appearing to read "Brent O'Neill", with a stylized flourish at the end.

Brent O'Neill  
Director of Engineering



Hazen and Sawyer  
7870 E. Kemper Rd.  
Suite 300  
Cincinnati, OH 45249

February 1, 2016

Zachery Dukes  
Project Manager Engineer  
Kentucky American Water  
2300 Richmond Rd.  
Lexington, KY 40502

**Re: Kentucky American Water: Richmond Rd. Station WTP Permits**

Mr. Dukes:

This letter is to attest that all required permits on the Richmond Road Station Water Treatment Plant Improvements have been received by the General Contractor, W. Rogers and transmitted to us, the Engineer, and also to Kentucky American Water, the Owner. W Rogers has several subcontractors on the job. The attached email from Michael Bailey states that W Rogers have reviewed all permits obtained by their subcontractors.

Hazen has reviewed the following permits:

- All required Lexington-Fayette Urban County Government (LFUCG) permits including:
  - Building
  - HVAC
  - Electrical
- Land disturbance
- Erosion control

Please note that none of the work on this project requires a plumbing permit from LFUCG.

Very truly yours,

Bret Casey, PE  
Senior Associate

Attachment  
cc: Z. Duke (KAW)

**Sajdak, Nichole**

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**From:** Michael Bailey <michaelb@wrogers.com>  
**Sent:** Friday, January 29, 2016 10:11 AM  
**To:** Sajdak, Nichole  
**Subject:** permits KAW Richmond Road

Nichole,

CIS-WRC LLC and our subcontractors have received the necessary construction/building permits for the KAW Richmond Road Filter Building project.

Michael Bailey  
W. Rogers Company  
Office: 859-231-6290  
Direct: 859-410-7212  
[michaelb@wrogers.com](mailto:michaelb@wrogers.com)



DEPARTMENT OF PUBLIC SAFETY  
DIVISION OF BUILDING INSPECTION

# COMMERCIAL PERMIT

for  
**2300 RICHMOND RD  
GENERAL WAREHOUSE**

FOR OFFICIAL USE ONLY

2300 RICHMOND RD  
16012300  
163024

**Date Issued:** 05/20/2015      **Contact Person:** LISA MELTON  
**Owner:** KAWC      **Zone:** A-U  
**Address:** 2300 RICHMOND RD  
**City:** LEXINGTON      **State:** KY      **Zip:** 40502      **Phone:** (859) 268-6352  
**Contractor:** W ROGERS COMPANY      **Registration #** 11113  
**Address:** 649 BIZZELL DR  
**City:** LEXINGTON      **State:** KY      **Zip:** 40510      **Phone:** (859) 231-6290

|                                    |              |                          |          |                   |              |                   |
|------------------------------------|--------------|--------------------------|----------|-------------------|--------------|-------------------|
| <b>Bldgs 1</b>                     | <b>Units</b> | <b>Stories</b>           | <b>2</b> | <b>Rooms</b>      | <b>Baths</b> | <b>1/2 Baths</b>  |
| <b>Sewer/Septic</b>                |              |                          |          | <b>Floodplain</b> |              |                   |
| <b>Construction Cost \$</b>        | 5860000      |                          |          |                   |              | <b>Mezzanine</b>  |
| <b>Basement</b>                    | <b>Use</b>   |                          |          |                   |              | <b>SqFt</b> 23374 |
| <b>Record Plat</b>                 | <b>Date</b>  | <b>Name</b>              |          |                   |              |                   |
| <b>Development Plan</b>            | <b>Date</b>  | <b>Name</b>              |          |                   |              |                   |
| <b>Parking Spaces Required</b>     | NA           | <b>Use Group</b>         |          | S-1               |              |                   |
| <b>Parking Spaces Shown</b>        | NA           | <b>Construction Type</b> |          | 2-B               |              |                   |
| <b>Handicapped Spaces Required</b> |              | <b>Code Book</b>         |          | KBC 2013          |              |                   |
| <b>Handicapped Spaces Shown</b>    |              |                          |          |                   |              |                   |

|                                  |                               |
|----------------------------------|-------------------------------|
| <b>ARCHITECT</b> DEAN A. WALDROP | <b>ENGINEER</b> BRET CASEY    |
| <b>Address</b> HAZEN & SAWYER    | <b>Address</b> HAZEN & SAWYER |
| LEXINGTON, KY 40503              | LEXINGTON, KY 40503           |
| <b>Phone</b> ( ) - -             | <b>Phone</b> ( ) - -          |

| Permitted Work    | Base             | X    | = Acct181 | Additional Fee   | X    | = Acct180 | Acct000 | Total    |
|-------------------|------------------|------|-----------|------------------|------|-----------|---------|----------|
| GENERAL WAREHOUSE | 23,374.00 sq.ft. | 0.03 | 654.47    | 23,374.00 sq.ft. | 0.08 | 1,799.80  | 0.00    | 2,454.27 |
|                   |                  |      |           |                  |      |           |         | 2,454.27 |

### Conditions

Certificate of Occupancy Required  
 Must obtain all required inspections.  
 Must comply with all governmental agency approvals.  
 Call Kentucky Underground Protection 2 working days before you dig, 1-800-752-6007  
 This permit does not include signs.  
 Framing inspection required prior to drywalling. Call 258-3770  
 This permit does not include HVAC, Electrical, or Plumbing work.

OK'D By: JIM TUCKER  
 Inspector: MARK NEWBERG  
 MHK  
 Signature of Party \_\_\_\_\_

*Dewey L. Crowe*  
 \_\_\_\_\_  
**Dewey L. Crowe**  
 Director of Building Inspection



3/9/2015

### Electric Permit Receipt

**Receipt Number** 17516                      **Permit Numbers** 109035  
**Date Issued** 3/9/2015  
**Received From** CHRIS THAMAN  
**Name**  
**Address**

**CE Number** 13041

**ME Number** 13040

**Home Owner** No

**Initials** JB

# Permits 1                      **Fee** \$10.00                      **Total** \$10.00

Penalty Fees 0                      **Fee** \$500.00                      **Total** \$0.00

**Grand Total** \$10.00

| <u>Payment Type</u> | <u>Amount</u> | <u>Check Num</u> | <u>Paid By</u> |
|---------------------|---------------|------------------|----------------|
| CASH                | \$10.00       |                  | CHRIS THAMAN   |

**Nº 109035**

### **ELECTRICAL PERMIT**

**Nº 109035**

CE No. 13041

ME No. 13040

Homeowner \_\_\_\_\_

JOB

Division of Building Inspection  
Telephone 859-258-3770

Lexington  
 Fayette  
 Urban  
 County  
 Government



DEPARTMENT OF PUBLIC SAFETY  
 DIVISION OF BUILDING INSPECTION

**PERMIT**  
 for  
**2300 RICHMOND RD**

FOR OFFICIAL USE ONLY

|                  |
|------------------|
| 2300 RICHMOND RD |
| 16012300         |
| 165430           |

**MECHANICAL COMM. \$100,001-\$150,000**

Permit # 165430

Date Issued: 09/24/2015

Owner: KY AMERICAN WATER  
 Address: 2300 RICHMOND RD  
 City: LEXINGTON

Zone:  
 State: KY Zip: 40502 Phone: (859) 268-6327

Contractor: WIGLESWORTH PLUMBING & HEATING, INC  
 Address: 5439 STEWART RD  
 City: LEXINGTON

Registration # 17236  
 Phone: (859) 227-4178

New/Existing New Type of Work NEW CONSTRUCTION

Access SIDEWALL Plans Yes

Type of Fuel GAS

# of Indoor Units 11

# of Outdoor Units 1

Rough-in Date Inspector

Final Date Inspector

| Permitted Work   | Base      | X      | = Acct181 | Additional Fee | X    | = Acct180 | Acct000 | Total  |
|------------------|-----------|--------|-----------|----------------|------|-----------|---------|--------|
| MECHANICAL COMM. | 1.00 EACH | 630.00 | 630.00    |                | 0.00 | 0.00      | 0.00    | 630.00 |
|                  |           |        |           |                |      |           |         | 630.00 |

**Conditions**

Rough in and final inspection required on all new construction.  
 \*Work must be performed by Licensed HVAC Contractor.  
 Field inspection may determine additional code compliance issues not addressed during plan review.  
 Must meet requirements of 2013 Kentucky Building Code  
 Must meet requirements of 2012 International Mechanical Code  
 Must comply with 2012 IECC

**MUST COMPLY WITH ENGINEERED STAMPED PLANS.**

This permit shall expire six (6) months after date of issuance or after six months of inactivity.

OK'D By: BOB CARPENTER  
 Inspector: DAVID COSLOW  
 MHK

*Dewey L. Crowe*  
 \_\_\_\_\_  
 Dewey L. Crowe  
 Director of Building Inspection

Signature of Party \_\_\_\_\_